Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No. 14875-0154US1	Application No. 10/560,098
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Taro Miyazaki et al.	
		Filing Date April 28, 2006	Group Art Unit 1643

			U.S. Pate	nt Documents			
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
/LB/	1	11/910,117	08/2007	Igawa et al.			
000000	2	11/910,128	09/2007	Igawa et al.			
000000	3	11/916,979	08/2008	Igawa			
	4	5,837,821	11/1998	Wu			
2000000	5	5,877,291	03/1999	Mezes et al.			
999999	6	6,126,980	10/2000	Smith et al.			
90000	7	6,132,992	10/2000	Ledbetter et al.		M. M. A. M.	
000	8	6,183,744	02/2001	Goldenberg			
	9	6,323,000	11/2001	Briggs et al.			
	10	6,342,220	01/2002	Adams et al.			
	11	6,368,596	04/2002	Ghetie et al.			
00000	12	6,683,157	01/2004	Briggs et al.			
000000	13	6,699,686	03/2004	Brocard et al.		·	
00000000	14	2002/155537	10/2002	Carter et al.			
000	15	2004/0091475	05/2004	Tsuchiya et al.			
	16	2005/0130224	06/2005	Saito et al.			
	17	2006/0222643	10/2006	Tsunoda et al.			
00000000	18	2007/0003556	01/2007	Tsuchiya et al.			
90000000	19	2007/0281327	12/2007	Nakano et al.			
	20	2008/0009038	01/2008	Ohtomo et al.			
	21	2008/0206229	08/2008	Ono et al.			
V	22	2009/0028854	01/2009	Igawa et al.			, , , , , , , , , , , , , , , , , , , ,

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner	Desig.	Document	Publication	Country or			Transla	ition
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
/LB/	23	EP 811 691	12/10/1997	Europe				
/LB/	24	JP 8-500979	02/06/1996	Japan				

Examiner Signature /Lynn Bristol/	Date Considered 08/09/2009
EXAMINER: Initials citation considered. Draw line through citation if no next communication to applicant.	t in conformance and not considered. Include copy of this form with

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No. 14875-0154US1	Application No. 10/560,098
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Taro Miyazaki et al.	
		Filing Date April 28, 2006	Group Art Unit 1643

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner	Desig.	Document	Publication	Country or			Translat	tion
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
/LB/	25	JP11-500916	01/26/1999	Japan			Abstract only	
2000	26	JP 2002-543822	12/24/2002	Japan				
10000000000000000000000000000000000000	27	JP 2004-086682	03/18/2004	Japan			Abstract only	
88	28	JP 2003-515323	05/07/2003	Japan				
) (2000000	29	WO 94/05690	03/17/1994	WIPO				
888	30	WO 96/27011	09/06/1996	WIPO				
200000000000000000000000000000000000000	31	WO 97/10354	03/20/1997	WIPO			English abstract	
000000000000000000000000000000000000000	32	WO 00/069462	11/23/2000	WIPO				
000000000000000000000000000000000000000	33	WO 01/036486	05/25/2001	WIPO				
000000000000000000000000000000000000000	34	WO 2004/019966	03/11/2004	WIPO			English abstract	
00000000000000000000000000000000000000	35	WO 04/111233	12/23/2004	WIPO			English abstract	
)0000EED900000	36	WO 05/056604	06/23/2005	WIPO			English abstract	
	37	WO 2005/107784	11/17/2005	WIPO			English abstract	

	Other Documents (include Author, Title, Date, and Place of Publication)					
Examiner	Desig.					
Initial	ID	Document				
/LB/	38	ARNDT et al., "Generation of a highly stable, internalizing anti-DC22 single-chain Fv fragment for targeting non-Hodgkin's lymphoma," <i>Int. J. Cancer</i> , 107(5):822-829 (2003)				
3000000	39	BOWIE et al., "Deciphering the Message in Protein Sequences: Tolerance to Amino Acid Substitutions," <i>Science</i> , 247:1306-1310 (1990)				
0000000000	40	CLACKSON et al., "Making antibody fragments using phage display libraries," <i>Nature</i> , 352:624-628 (1991)				
	41	COCHLOVIUS et al., "Treatment of human B cell lymphoma xenografts with a CD3 x CD19 diabody and T cells", The Journal of Immunology 165:888-895 (2000)				
***************************************	42	DEJONGE et al., "In vivo retargeting of T cell effector function by recombinant bispecific single chain Fv (anti-DC3 x anti-idiottype) induces long term survival of the murine BCL1 lymphoma model," <i>J. Immunol.</i> , 161(3):1454-1461 (1998)				
000000	43	DESPLANCQ et al., "Multimerization behaviour of single chain Fv variants for the tumour-binding antibody B72.3," <i>Protein Engineering</i> , 7(8):1027-1033 (1994)				
V	44	EIJSINK et al., "Rational engineering of enzyme stability," <i>Journal of Biotechnology</i> , 113:105-120 (2004)				

Examiner Signature /Lynn Bristol/	Date Considered 08/09/2009
EXAMINER: Initials citation considered. Draw line through citation if no next communication to applicant.	t in conformance and not considered. Include copy of this form with

Substitute Form PTO-1449 U.S. Department of Commerce (Modified) Patent and Trademark Office				
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Taro Miyazaki et al.		
		Filing Date April 28, 2006	Group Art Unit 1643	

	Other Documents (include Author, Title, Date, and Place of Publication)					
Examiner	Desig.					
Initial	ID	Document				
/LB/	45	EWERT et al., "Biophysical properties of human antibody variable domains," <i>J. Mol. Biol.</i> , 325:531-553 (2003)				
	46	EWERT et al., "Stability improvement of antibodies for extracellular and intracellular applications: CDR grafting to stable frameworks and structure-based framework engineering," <i>Methods</i> , 34:184-199 (2004)				
	47	EWERT et al., "Structure-based improvement of the biophysical properties of immunoglobulin V _H domains with a generalizable approach," <i>Biochemistry</i> , 42:1517-1528 (2003)				
200000000000000000000000000000000000000	48	GRUBER et al., "Efficient tumor cell lysis mediated by a bispecific single chain antibody expressed in <i>Escherichia coli</i> ," <i>Journal of Immunology</i> , 152:5368-5374 (1994)				
00000000	49	JÄGER et al., "Folding and assembly of an antibody Fv fragment, a heterodimer stabilized by antigen," <i>Journal of Molecular Biology</i> , 285:2005-2019 (1999)				
90000000	50	KIPRIYANOV and LITTLE, "Generation of Recombinant Antibodies," <i>Molecular Biotechnology</i> , 12:173-201 (1999)				
0000000	51	KIPRIYANOV et al., "Bispecific CD3 x CD19 diabody for T cell-mediated lysis of malignant human B cells," <i>In. J. Cancer</i> , 77:763-772 (1998)				
000000000000000000000000000000000000000	52	KIPRIYANOV et al., "Bispecific tandem diabody for tumor therapy with imprived antigen binding and pharmacokinetics," <i>Journal of Molecular Biology</i> , 293:41-56 (1999)				
000000000000000000000000000000000000000	53	KORN et al., "Recombinant bispecific antibodies for the targeting of adenoviruses to CEA- expressing tumour cells: a comparative analysis of bacterially expressed single-chain diabody and tandem scFv," <i>The Journal of Gene Medicine</i> , 6:642-651 (2004)				
000000000000000000000000000000000000000	54	KRIANGKUM et al., "Bispecific and bifunctional single chain recombinant antibodies," <i>Biomol. Eng.</i> , 18(2):31-40 (2001)				
000000000000000000000000000000000000000	55	KUMAR et al., "The second PDZ domain of INAD is a type I domain involved in binding to eye protein kinase C. Mutational analysis and naturally occurring variants," <i>J. Biol. Chem.</i> , 276(27):24971-24977 (2001)				
***************************************	56	LE GALL et al., "Effect of linker sequences between the antibody variable domains on the formation, stability and biological activity of a bispecific tandem diabody," <i>Protein Engineering Design & Selection</i> , 17(4):357-366 (2004)				
000000000000000000000000000000000000000	57	LIU et al., "Functional interactions between arginine-133 and aspartate-88 in the human reduced folate carrier: evidence for a charge-pair association," <i>Biochem. J.</i> , 358:511-516 (2001)				
000000	58	MACK et al., "A small bispecific antibody construct expressed as a functional single-chain molecule with high tumor cell cytotoxicity," <i>Proc. Natl. Acad. Sci. USA</i> , 92(15):7021-7025 (1995)				
000000000000000000000000000000000000000	59	MAITY et al., "Equilibrium unfolding of dimeric and engineered monomeric forms of Cro (F58W) repressor and the effect of added salts: evidence for the formation of folded monomer induced by sodium perchlorate," <i>Archives of Biochemistry and Biophysics</i> , 434:93-107 (2005)				
	60	MALLENDER et al., "Construction, expression and activity of a bivalent bispecific single-chain antibody," J. Biol. Chem., 269(1):199-206 (1994)				
200000000000000000000000000000000000000	61	MENG et al., "The evaluation of recombinant, chimeric, tetravalent antihuman CD22 antibodies," Clinical Cancer Research, 10:1274-1281 (2004)				
V	62	NGO et al., "Computational Complexity, Protein Structure Prediction, and the Levinthal Paradox," The Protein Folding Problem and Tertiary Structure Prediction, Merz, Jr. et al. Editors, Birkhauser Boston, 433-506 (1994)				

Examiner Signature /Lynn Bristol/	Date Considered 08/09/2009
EXAMINER: Initials citation considered. Draw line through citation if no next communication to applicant.	t in conformance and not considered. Include copy of this form with

Substitute Form PTO-1449 U.S. Department of Commerce (Modified) Patent and Trademark Office		Attorney Docket No. 14875-0154US1	Application No. 10/560,098
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Taro Miyazaki et al.	
		Filing Date April 28, 2006	Group Art Unit 1643

Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner Desig.				
Initial ID		Document Document		
/LB/	(2	NIEBA et al., "Disrupting the hydrophobic patches at the antibody variable/constant domain		
	63	interface: improved <i>in vivo</i> folding and physical characterization of an engineered scFv fragment,"		
		Protein Engineering, 10(4):435-444 (1997)		
30000	64	NISHII, "CD22 antibody therapy," Current Therapy, 20:47-50 (2001) (English translation included)		
9000	65	NOHAILE et al., "Altering dimerization specificity by changes in surface electrostatics," PNAS		
2000	0.5	98(6):3109-3114 (2001)		
99999	66	ORITA et al., "A novel therapeutic approach for thrombocytopenia by minibody agonist of the		
		thrombopoietin receptor," Blood, 105:562-566 (2005)		
300		RAJAGOPAL et al., "A form of anti-Tac (Fv) which is both single-chain and disulfide stabilized:		
9999	67	comparison with its single-chain and disulfide-stabilized homologs," Protein Engineering,		
999		10(12):1453-1459 (1997)		
800	68	ROUSCH et al., "Somatostatin displayed on filamentous phage as a receptor-specific agonist," Br. J.		
90	<u> </u>	Pharmacol., 125:5-16 (1998)		
9999	(0	SAL-MAN et al., "Arginine mutations within a transmembrane domain of Tar, an Escherichia coli		
200000	69	aspartate receptor, can drive monodimer dissociation and heterodimer association n vivo," <i>Biochem.</i>		
-		J., 385(1):29-36 (2005)		
30000	70	SEGAL et al., "Bispecific antibodies in cancer therapy," Current Opinion in Immunology, 11:558-582 (1999)		
- 0		SHIMBA et al., "Comparative thermodynamic analyses of the Fv, Fab* and Fab fragments of anti-		
900	71	dansyl mouse monoclonal antibody," FEBS Letters, 360:247-250 (1995)		
	72	SHIRE et al., "Challenges in the development of high protein concentration formulations," <i>Journal</i>		
9000		of Pharmaceutical Sciences, 93(6):1390-1402 (2004)		
TAN et al. "Contributions of a highly concerned V. W. by drosen by		TAN et al., "Contributions of a highly conserved V _H /V _L hydrogen bonding interaction to scFv		
30000	73	folding stability and refolding efficiency," Biophysical Journal, 75:1473-1482 (1998)		
9999	74	VAN DEN BURG et al., "Selection of mutations for increased protein stability," Curr. Opin.		
	/4	Biotechnol., 13(4):333-337 (2002)		
800000	75	VIEILLE et al., "Hyperthermophilic enzymes: sources, uses, and molecular mechanisms for		
	, 5	thermostability," Microbiology and Molecular Biology Reviews, 65(1):1-43 (2001)		
800000	76	WELLS, "Perspectives in Biochemistry," Biochemistry, 29(37):8509-8517 (1990)		
		WHITLOW et al., "An improved linker for single-chain Fv with reduced aggregation and enhanced		
ooggaa	77	proteolytic stability," <i>Protein Engineering</i> , 6(8):989-995 (1993)		
0000	70	WORN et al., "Stability engineering of antibody single-chain Fv fragments," J. Mol. Biol., 305:989-		
200000	78	1010 (2001)		
00000	79	ZHU et al., "Remodeling domain interfaces to enhance heterodimer formation," Protein Science,		
0000	/9	6:781-788 (1997)		
		U.S. EXAMINER LORRAINE SPECTOR, USPTO Restriction Requirement in U.S. App. Ser.		
99999	00	No. 10/551,504, mailed June 27, 2008, 6 pages		
X000000	81	FISH & RICHARDSON P.C., Response to Restriction Requirement dated June 27, 2008 in U.S.		
00000	0.1	App. Ser. No. 10/551,504, filed September 29, 2008, 13 pages		
	82	U.S. EXAMINER LORRAINE SPECTOR, USPTO Restriction Requirement in U.S. App. Ser.		
*	L	No. 10/551,504, mailed December 16, 2008, 5 pages		

Examiner Signature /Lynn Bristol/	Date Considered ()8/()9/2()()9		
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with			

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No. 14875-0154US1	Application No. 10/560,098
Information Discl	licant	Applicant Taro Miyazaki et al.	
(Use several sheets if necessary) (37 CFR §1.98(b))		Filing Date April 28, 2006	Group Art Unit 1643

Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner	Desig.			
Initial	ID	Document		
LB/	83	FISH & RICHARDSON P.C., Response to Restriction Requirement dated December 16, 2008 in		
i wwi	0.5	U.S. App. Ser. No. 10/551,504, filed December 23, 2008, 14 pages		
	84	U.S. EXAMINER LORRAINE SPECTOR, USPTO Non-Final Office Action in U.S. App. Ser.		
	0 1	No. 10/551,504, mailed April 15, 2009, 35 pages		
	85	JAPANESE PATENT OFFICE, International Search Report for App. Ser. No.		
	0.5	PCT/JP2004/018506, mailed March 22, 2005, 3 pages		
	86	JAPANESE EXAMINER YOSHIKO KUWAHARA, International Preliminary Report on		
	00	Patentability for App. Ser. No. PCT/JP2004/018506, 8 pages		
	87	EPO EXAMINER ROBERT RANKIN, European Search Report for App. Ser. No. EP 04 82 0316,		
		dated July 17, 2008, 3 pages		
	88	JAPANESE PATENT OFFICE, International Search Report for App. Ser. No.		
	00	PCT/JP2006/306800, mailed May 16, 2006, 4 pages		
88999	89	JAPANESE EXAMINER YOSHIKO KUWAHARA, International Preliminary Report on		
		Patentability for App. Ser. No. PCT/JP2006/306800, dated October 3, 2007, 6 pages		
	90	JAPANESE PATENT OFFICE, International Search Report for App. Ser. No.		
		PCT/US2006/306803, mailed July 11, 2006, 4 pages		
XXX	91	JAPANESE EXAMINER YOSHIKO KUWAHARA, International Preliminary Report on		
		Patentability for App. Ser. No. PCT/US2006/306803, dated October 3, 2007, 6 pages		
	92	JAPANESE PATENT OFFICE, International Search Report for App. Ser. No. PCT/JP2006/311575,		
	92	mailed September 26, 2006, 3 pages		
	93	JAPANESE EXAMINER MASASHI HONDA, International Preliminary Report on Patentability		
for App. Ser. No. PCT/JP2006/31157		for App. Ser. No. PCT/JP2006/311575, dated December 11, 2007, 5 pages		
88888	94	JAPANESE PATENT OFFICE, International Search Report for App. Ser. No. PCT/JP2006/311600,		
	27	mailed August 29, 2006, 2 pages		
\ \ ! /	95	JAPANESE EXAMINER YOSHIKO KUWAHARA, International Preliminary Report on		
W		Patentability for App. Ser. No. PCT/JP2006/31160, dated December 11, 2007, 8 pages		

08/09/2009

		00/00/200		
Examiner Signature	/Lynn Bristol/	Date Considered		
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				